### FORM L-11-BORROWINGS SCHEDULE

**BORROWINGS: 31.12.2017** 

('₹ 000)

	Particulars	AS AT 31 <sup>st</sup>	AS AT 31 <sup>st</sup>
		DECEMBER, 2017	DECEMBER, 2016
1	Debentures/ Bonds	0	0
2	Banks	0	0
3	Financial Institutions	0	0
4	Others	0	0
	TOTAL	0	0

# FORM L-12-INVESTMENTS SHAREHOLDERS SCHEDULE INVESTMENTS-SHAREHOLDERS: 31.12.2017

('₹ 000)

	Particulars	AS AT 31 <sup>st</sup>	AS AT 31 <sup>st</sup>
		DECEMBER, 2017	DECEMBER, 2016
	LONG TERM INVESTMENTS		
1	Government securities and Government	2298673	2239307
	guaranteed bonds including Treasury Bills		
2	Other Approved Securities	0	0
3	Other Investments		
	(a) Shares		
	(aa) Equity	414417	237740
	(bb) Preference	0	0
	(b) Mutual Funds	0	0
	(c) Derivative Instruments	0	0
	(d) Debentures/ Bonds	228742	161443
	(e) Other Securities	18671	18671
	(f) Subsidiaries/ Associates/ Joint ventures	2875815	2875814
	(g) Investment Properties-Real Estate	0	0
4	Investments in Infrastructure and Social Sector	0	0
5	Other than Approved Investments	0	0
	SHORT TERM INVESTMENTS		
1	Government securities and Government	0	0
	guaranteed bonds including Treasury Bills		
2	Other Approved Securities	0	0
3	Other Investments		
	(a) Shares		
	(aa) Equity	0	0
	(bb) Preference	0	0
	(b) Mutual Funds	0	0
	(c) Derivative Instruments	0	0
	(d) Debentures/ Bonds	0	0
	(e) Other Securities	0	0
	(f) Subsidiaries	0	0
	(g) Investment Properties-Real Estate	0	0
4	Investments in Infrastructure and Social Sector	0	0
5	Other than Approved Investments	0	0
	TOTAL	5836318	5532975

# FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE INVESTMENTS-POLICYHOLDERS: 31.12.2017

('₹ 000)

	Particulars	AS AT 31 <sup>st</sup>	AS AT 31 <sup>st</sup>
	- 4	DECEMBER, 2017	DECEMBER, 2016
	LONG TERM INVESTMENTS	DECEMBER, 2017	DECEMBER, 2010
1	Government securities and Government	15840034887	13298323476
	guaranteed bonds including Treasury Bills		
2		249594827	246291649
3	(a) Shares		
	(aa) Equity	4809809004	3821856183
	(bb) Preference	5958969	392579
	(b) Mutual Funds	2598538	18238742
	(c) Derivative Instruments	0	0
	(d) Debentures/ Bonds	761833455	879872808
	(e) Other Securities		
	i Special Deposit with Govt. of India	0	0
	ii Deposits with Social Security Fund	0	0
	iii Other Securities & Bonds	2271491	2297116
	iv Initial contribution to UTI II capital	2288339	2288339
	(f) Subsidiaries	11192202	10792202
	(g) Investment Properties-Real Estate	113223296	95721643
4	Investments in Infrastructure and Social Sector	2216487887	1885745756
5	Other than Approved Investments	800253568	309643467
	Provision for Doubtful Investments	(79721272)	(53379546)
	SHORT TERM INVESTMENTS		
1	Government securities and Government	156127336	272203249
	guaranteed bonds including Treasury Bills		
	Other Approved Securities	249430	798035
3	(a) Shares		
	(aa) Equity	0	0
	(bb) Preference	0	0
	(b) Mutual Funds	113082366	230483144
	(c) Derivative Instruments	0	0
	(d) Debentures/ Bonds	64914456	31924749
	(e) Other Securities	0	0
	(f) Subsidiaries	0	0
	(g) Investment Properties-Real Estate	0	0
	Investments in Infrastructure and Social Sector	106318322	84160139
5	Other than Approved Investments	36545930	23839064
	Provision for Doubtful Investments	(33846322)	(21000577)
	TOTAL	25179216709	21140492217

# FORM L-14-ASSETS HELD TO COVER LINKED LIABILITIES SCHEDULE ASSETS HELD TO COVER LINKED LIABILITIES: 31.12.2017

('₹ 000)

3 (a) Shares		Doublesslass		('₹ 000)
LONG TERM INVESTMENTS		Particulars		
Government securities and Government   324202678   273736338   quaranteed bonds including Treasury Bills   7154860   7177176   3 (a) Shares   (aa) Equity   362905380   398949312   (bb) Preference   485371   0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0			DECEMBER, 2017	DECEMBER, 2016
Quaranteed bonds including Treasury Bills   2 Other Approved Securities   7154860   7177176   3 (a) Shares   (aa) Equity   362905380   398949312   (bb) Preference   485371   0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0				
2 Other Approved Securities	1		324202678	273736338
3 (a) Shares				
(aa) Equity (bb) Preference (b) Mutual Funds (c) Derivative Instruments (d) Debentures/Bonds (e) Other Securities (e) Other Securities (g) Investment Properties-Real Estate (g) Investments in Infrastructure and Social Sector (g) Investment Properties-Real Estate (g) Investment Infrastructure and Social Sector (g) Investment Infrastructure and Social Sector (g) Investment Infrastructure Real Sector (g) Investment Infrastructure Real Sector (g) Investment Real Estate (g) Investment Infrastructure Real Sector (g) Investme	2	Other Approved Securities	7154860	7177176
(bb) Preference (b) Mutual Funds (c) Derivative Instruments (d) Debentures/ Bonds (e) Other Securities 22099500 27476900 (f) Subsidiaries (e) Other Securities 2009500 27476900 (g) Investment Properties-Real Estate (e) Other Securities (f) O	3	(a) Shares		
(b) Mutual Funds (c) Derivative Instruments (d) Debentures/ Bonds (e) Other Securities (e) Other Securities (g) Investment Properties-Real Estate (g) Investment Properties-Real Estate (g) Investments in Infrastructure and Social Sector (g) Investment Properties-Real Estate (g) Investments in Infrastructure and Social Sector (g) Derivative Infrastructure Section Se		(aa) Equity	362905380	398949312
(c) Derivative Instruments (d) Debentures/ Bonds (e) Other Securities 2009500 27476900 (f) Subsidiaries 0 0 27476900 (g) Investment Properties-Real Estate 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		(bb) Preference	485371	0
(d) Debentures/ Bonds (e) Other Securities 2009500 27476900 (f) Subsidiaries 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		(b) Mutual Funds	0	0
(a) Other Securities		(c) Derivative Instruments	0	0
(i) Subsidiaries		(d) Debentures/ Bonds	21299458	25785425
G  Investment Properties-Real Estate		(e) Other Securities	2009500	27476900
4   Investments in Infrastructure and Social Sector   117740390   135489538   5   Other than Approved Investments   51494552   16812834   SHORT TERM INVESTMENTS   1   Government securities and Government   56561615   53716735   guaranteed bonds including Treasury Bills   2   Other Approved Securities   0   0   0   0   0   0   0   0   0		(f) Subsidiaries	0	0
4   Investments in Infrastructure and Social Sector   117740390   135489538   5   Other than Approved Investments   51494552   16812834   SHORT TERM INVESTMENTS   1   Government securities and Government   56561615   53716735   guaranteed bonds including Treasury Bills   2   Other Approved Securities   0   0   0   0   0   0   0   0   0		(g) Investment Properties-Real Estate	0	0
SHORT TERM INVESTMENTS	4		117740390	135489538
SHORT TERM INVESTMENTS	5	Other than Approved Investments	51494552	16812834
guaranteed bonds including Treasury Bills   2   Other Approved Securities   0   0   0   0   0   0   0   0   0		• •		
2 Other Approved Securities	1	Government securities and Government	56561615	53716735
2 Other Approved Securities				
(a) Shares	2	· · ·	0	0
(aa) Equity (bb) Preference (b) Mutual Funds (c) Derivative Instruments (d) Debentures/ Bonds (e) Other Securities (g) Investment Properties-Real Estate (hieral Assets  Current Assets  Current Assets  6 Cash & Bank Balance Cash (including cheques, drafts and stamps) Bank Balance (a) Deposits (aa) Short Term (bb) Others (c) Others (d) Others (e) Others (e) Others (e) Others (e) Others (e) Others (e) Others (f) Othe				
(bb) Preference 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0			0	0
(b) Mutual Funds (c) Derivative Instruments (d) Debentures/ Bonds (e) Other Securities (e) Other Securities (f) Subsidiaries (g) Investment Properties-Real Estate (g) Investments in Infrastructure and Social Sector (g) Investments in Infrastructure and Social Sector (h) Subsidiaries (g) Investments in Infrastructure and Social Sector (h) Investments in Infrastructure and Social Sector (h) Subsidiaries (h) Other than Approved Investments (h) Other Assets (a) Deposits (a) Deposits (a) Deposits (a) Deposits (a) Deposits (a) Deposits (b) Current Accounts (c) Others (d) Others (e) Others (f) Others (h) Other Advances Advance tax paid and tax deducted at source (h) Other Advances (h) Other Advan			0	0
(c) Derivative Instruments (d) Debentures/ Bonds (e) Other Securities (e) Other Securities (f) Subsidiaries (g) Investment Properties-Real Estate (g) Investment Properties-Real Estate (g) Investments in Infrastructure and Social Sector (f) Subsidiaries (g) Investments in Infrastructure and Social Sector (f) Subsidiaries (g) Other than Approved Investments (g) Others (			29311890	14552470
(d) Debentures/ Bonds (e) Other Securities (f) Subsidiaries (g) Investment Properties-Real Estate (g) Investment Properties-Real Estate 0 0 0 4 Investments in Infrastructure and Social Sector 5 Other than Approved Investments (1663) 5 Other than Approved Investments (1663) 6071714  Current Assets 6 Cash & Bank Balance Cash (including cheques, drafts and stamps) Bank Balance (a) Deposits (aa) Short Term 0 0 0 (b) Current Accounts (c) Others 0 0 0  7 Advances Advance tax paid and tax deducted at source Other Advances Income outstanding /accrued on investments 22473106 Current Liabilities Sundry Creditors Premium received but not allocated Giams outstanding Commission (f) 8679283 Curstanding Commission (f) 8679283 Outstanding Commission (f) 8654 Premium and other deposits Inter Office Contra Account (f) 9 Provisions Provision for required solvency margin  Total (B) -Current liabilities Inter Office Contra Hasolvency margin  Total (B) -Current liabilities Inter Office Contra Hasolvency margin  Total (B) -Current liabilities Inter Office Contra Hasolvency margin  Total (B) -Current liabilities Inter Office Contra Hasolvency margin Inter Office Contra Hasolven			0	0
(e) Other Securities         22069782         13293           (f) Subsidiaries         0         0           (g) Investment Properties-Real Estate         0         0           4 Investments in Infrastructure and Social Sector         6632548         15700963           5 Other than Approved Investments         (1663)         6071714           Current Assets           6 Cash & Bank Balance         (2ash (including cheques, drafts and stamps)         0         0           Bank Balance         0         0         0           (a) Deposits         0         0         0           (aa) Short Term         0         0         0           (b) Others         0         0         0           (c) Others         0         0         0           7 Advances         21828         28731         28731           (c) Others         0         0         0           7 Advances         3273003         3074670         1           Income outstanding /accrued on investments         22473106         26821324           Total (A) Current Assets         25767937         29924725           8 Current Liabilities         1518111         1636017           Premium received but		` '	2003842	4792782
(f) Subsidiaries         0         0         0           (g) Investment Properties-Real Estate         0         0         0           4 Investments in Infrastructure and Social Sector         6632548         15700963           5 Other than Approved Investments         (1663)         6071714           Current Assets           6 Cash & Bank Balance         0         0           Cash (including cheques, drafts and stamps)         0         0           Bank Balance         0         0         0           (a) Deposits         0         0         0           (a) Short Term         0         0         0           (bb) Others         0         0         0           (b) Current Accounts         21828         28731           (c) Others         0         0         0           7 Advances         3273003         3074670           Income outstanding /accrued on investments         22473106         26821324           Total (A) Current Assets         25767937         29924725           8 Current Liabilities         1518111         1636017           Premium received but not allocated         64033         62692           Claims outstanding         8679283		· /		
(g) Investment Properties-Real Estate			_	_
4   Investments in Infrastructure and Social Sector   6632548   15700963   5   Other than Approved Investments   (1663)   6071714			ľ	0
Current Assets	1		6632548	15700963
Current Assets   Cash & Bank Balance   Cash (including cheques, drafts and stamps)   0   0   0   0   0   0   0   0   0				
6 Cash & Bank Balance Cash (including cheques, drafts and stamps) Bank Balance (a) Deposits (aa) Short Term (bb) Others (c) Others  7 Advances Advance tax paid and tax deducted at source Other Advances Income outstanding /accrued on investments  Current Liabilities Sundry Creditors Sundry Creditors Claims outstanding Outstanding Commission Outstanding Commission Cutstanding Commission Cu		Other than Approved investments	(1003)	0071714
6 Cash & Bank Balance Cash (including cheques, drafts and stamps) Bank Balance (a) Deposits (aa) Short Term (bb) Others (c) Others  7 Advances Advance tax paid and tax deducted at source Other Advances Income outstanding /accrued on investments  Current Liabilities Sundry Creditors Sundry Creditors Claims outstanding Outstanding Commission Outstanding Commission Cutstanding Commission Cu		Current Assats		
Cash (including cheques, drafts and stamps)         0         0           Bank Balance         (a) Deposits         0         0           (aa) Short Term         0         0         0           (b) Others         0         0         0           (c) Others         0         0         0           7 Advances         0         0         0           Advance tax paid and tax deducted at source         0         0         0           Other Advances         3273003         3074670         10         10           Income outstanding /accrued on investments         22473106         26821324           Total (A) Current Assets         25767937         29924725           8 Current Liabilities         3         1518111         1636017           Premium received but not allocated         64033         62692           Claims outstanding         8679283         15218154           Outstanding Commission         (61)         8564           Premium and other deposits         12930         12449           Outstanding unclaimed amounts/deposits         19651461         12352872           Inter Office Contra Account         75390633         129322793           9 Provisions         Provision	6			
Bank Balance   (a) Deposits   (aa) Short Term   0   0   0   0   0   0   0   0   0	ľ		۸ ا	^
(a) Deposits       0       0         (b) Others       0       0         (b) Current Accounts       21828       28731         (c) Others       0       0         7 Advances       0       0         Advances Advances Advances Income outstanding /accrued on investments       3273003       3074670         Income outstanding /accrued on investments       22473106       26821324         Total (A) Current Assets       25767937       29924725         8 Current Liabilities       1518111       1636017         Premium received but not allocated       64033       62692         Claims outstanding       8679283       15218154         Outstanding Commission       (61)       8564         Premium and other deposits       12930       12449         Outstanding unclaimed amounts/deposits       19651461       12352872         Inter Office Contra Account       75390633       129322793         9 Provisions       Provision for required solvency margin       0       0         Total (B) -Current liabilities       105316390       158613541         Net current assets (A-B)       (79548453)       (128688816)			١	U
(aa) Short Term       0       0         (bb) Others       0       0         (b) Current Accounts       21828       28731         (c) Others       0       0         7 Advances       0       0         Advance tax paid and tax deducted at source       0       0         Other Advances       3273003       3074670         Income outstanding /accrued on investments       22473106       26821324         Total (A) Current Assets       25767937       29924725         8 Current Liabilities       3       1518111       1636017         Premium received but not allocated       64033       62692         Claims outstanding       8679283       15218154         Outstanding Commission       (61)       8564         Premium and other deposits       12930       12449         Outstanding unclaimed amounts/deposits       19651461       12352872         Inter Office Contra Account       75390633       129322793         9 Provisions       7       75390633       129322793         9 Provisions       7       0       0         Total (B) -Current liabilities       105316390       158613541         Net current assets (A-B)       (79548453)       (12				
(bb) Others       0       0         (b) Current Accounts       21828       28731         (c) Others       0       0         7 Advances       0       0         Advance tax paid and tax deducted at source       0       0         Other Advances       3273003       3074670         Income outstanding /accrued on investments       22473106       26821324         Total (A) Current Assets       25767937       29924725         8 Current Liabilities       1518111       1636017         Premium received but not allocated       64033       62692         Claims outstanding       8679283       15218154         Outstanding Commission       (61)       8564         Premium and other deposits       12930       12449         Outstanding unclaimed amounts/deposits       19651461       12352872         Inter Office Contra Account       75390633       129322793         9 Provisions       7500633       129322793         9 Provision for required solvency margin       0       0         Total (B) -Current liabilities       105316390       158613541         Net current assets (A-B)       (79548453)       (128688816)			_	0
(b) Current Accounts       21828       28731         (c) Others       0       0         7 Advances       0       0         Advance tax paid and tax deducted at source       0       0         Other Advances       3273003       3074670         Income outstanding /accrued on investments       22473106       26821324         Total (A) Current Assets       25767937       29924725         8 Current Liabilities       35767937       29924725         8 Current Liabilities       1518111       1636017         Premium received but not allocated       64033       62692         Claims outstanding       8679283       15218154         Outstanding Commission       (61)       8564         Premium and other deposits       12930       12449         Outstanding unclaimed amounts/deposits       19651461       12352872         Inter Office Contra Account       75390633       129322793         9 Provisions       0       0         Provision for required solvency margin       0       0         Total (B) -Current liabilities       105316390       158613541         Net current assets (A-B)       (79548453)       (128688816)				0
(c ) Others       0       0         7 Advances       0       0         Advance tax paid and tax deducted at source       0       0         Other Advances       3273003       3074670         Income outstanding /accrued on investments       22473106       26821324         Total (A) Current Assets       25767937       29924725         8 Current Liabilities       3       1518111       1636017         Premium received but not allocated       64033       62692         Claims outstanding       8679283       15218154         Outstanding Commission       (61)       8564         Premium and other deposits       12930       12449         Outstanding unclaimed amounts/deposits       19651461       12352872         Inter Office Contra Account       75390633       129322793         9 Provisions       7       75390633       129322793         9 Provision for required solvency margin       0       0         Total (B) -Current liabilities       105316390       158613541         Net current assets (A-B)       (79548453)       (128688816)			01000	00704
7 Advances       0       0         Advance tax paid and tax deducted at source       0       0         Other Advances       3273003       3074670         Income outstanding /accrued on investments       22473106       26821324         Total (A) Current Assets       25767937       29924725         8 Current Liabilities       3       1518111       1636017         Premium received but not allocated       64033       62692         Claims outstanding       8679283       15218154         Outstanding Commission       (61)       8564         Premium and other deposits       12930       12449         Outstanding unclaimed amounts/deposits       19651461       12352872         Inter Office Contra Account       75390633       129322793         9 Provisions       0       0         Provision for required solvency margin       0       0         Total (B) -Current liabilities       105316390       158613541         Net current assets (A-B)       (79548453)       (128688816)				20/31
Advance tax paid and tax deducted at source   0   0   0   0   0   0   0   0   0	-		0	0
Other Advances         3273003         3074670           Income outstanding /accrued on investments         22473106         26821324           Total (A) Current Assets         25767937         29924725           8 Current Liabilities         3000 Sundry Creditors         1518111         1636017           Premium received but not allocated         64033         62692           Claims outstanding         8679283         15218154           Outstanding Commission         (61)         8564           Premium and other deposits         12930         12449           Outstanding unclaimed amounts/deposits         19651461         12352872           Inter Office Contra Account         75390633         129322793           9 Provisions         70         0           Provision for required solvency margin         0         0           Total (B) -Current liabilities         105316390         158613541           Net current assets (A-B)         (79548453)         (128688816)	'		_	_
Income outstanding /accrued on investments   22473106   26821324		•	_	ū
Total (A) Current Assets         25767937         29924725           8 Current Liabilities         1518111         1636017           Sundry Creditors         1518111         1636017           Premium received but not allocated         64033         62692           Claims outstanding         8679283         15218154           Outstanding Commission         (61)         8564           Premium and other deposits         12930         12449           Outstanding unclaimed amounts/deposits         19651461         12352872           Inter Office Contra Account         75390633         129322793           9 Provisions         0         0           Provision for required solvency margin         0         0           Total (B) -Current liabilities         105316390         158613541           Net current assets (A-B)         (79548453)         (128688816)				
8 Current Liabilities       1518111       1636017         Sundry Creditors       1518111       1636017         Premium received but not allocated       64033       62692         Claims outstanding       8679283       15218154         Outstanding Commission       (61)       8564         Premium and other deposits       12930       12449         Outstanding unclaimed amounts/deposits       19651461       12352872         Inter Office Contra Account       75390633       129322793         9 Provisions       0       0         Provision for required solvency margin       0       0         Total (B) -Current liabilities       105316390       158613541         Net current assets (A-B)       (79548453)       (128688816)		<u> </u>		
Sundry Creditors       1518111       1636017         Premium received but not allocated       64033       62692         Claims outstanding       8679283       15218154         Outstanding Commission       (61)       8564         Premium and other deposits       12930       12449         Outstanding unclaimed amounts/deposits       19651461       12352872         Inter Office Contra Account       75390633       129322793         9 Provisions       Provision for required solvency margin       0       0         Total (B) -Current liabilities       105316390       158613541         Net current assets (A-B)       (79548453)       (128688816)			25767937	29924725
Premium received but not allocated         64033         62692           Claims outstanding         8679283         15218154           Outstanding Commission         (61)         8564           Premium and other deposits         12930         12449           Outstanding unclaimed amounts/deposits         19651461         12352872           Inter Office Contra Account         75390633         129322793           9 Provisions         Provision for required solvency margin         0         0           Total (B) -Current liabilities         105316390         158613541           Net current assets (A-B)         (79548453)         (128688816)	8			
Claims outstanding       8679283       15218154         Outstanding Commission       (61)       8564         Premium and other deposits       12930       12449         Outstanding unclaimed amounts/deposits       19651461       12352872         Inter Office Contra Account       75390633       129322793         9 Provisions       Provision for required solvency margin       0       0         Total (B) -Current liabilities       105316390       158613541         Net current assets (A-B)       (79548453)       (128688816)				1636017
Outstanding Commission       (61)       8564         Premium and other deposits       12930       12449         Outstanding unclaimed amounts/deposits       19651461       12352872         Inter Office Contra Account       75390633       129322793         9 Provisions       Provision for required solvency margin       0       0         Total (B) -Current liabilities       105316390       158613541         Net current assets (A-B)       (79548453)       (128688816)				62692
Premium and other deposits         12930         12449           Outstanding unclaimed amounts/deposits         19651461         12352872           Inter Office Contra Account         75390633         129322793           9 Provisions         Provision for required solvency margin         0         0           Total (B) -Current liabilities         105316390         158613541           Net current assets (A-B)         (79548453)         (128688816)				15218154
Outstanding unclaimed amounts/deposits       19651461       12352872         Inter Office Contra Account       75390633       129322793         9 Provisions       0       0         Provision for required solvency margin       0       0         Total (B) -Current liabilities       105316390       158613541         Net current assets (A-B)       (79548453)       (128688816)				8564
Inter Office Contra Account   75390633   129322793     9   Provisions   Provision for required solvency margin   0   0     Total (B) - Current liabilities   105316390   158613541     Net current assets (A-B)   (79548453)   (128688816)				12449
Inter Office Contra Account   75390633   129322793     9   Provisions   Provision for required solvency margin   0   0     Total (B) - Current liabilities   105316390   158613541     Net current assets (A-B)   (79548453)   (128688816)		Outstanding unclaimed amounts/deposits		12352872
9 Provisions         0         0           Provision for required solvency margin         0         0           Total (B) - Current liabilities         105316390         158613541           Net current assets (A-B)         (79548453)         (128688816)		Inter Office Contra Account	75390633	129322793
Total (B) -Current liabilities         105316390         158613541           Net current assets (A-B)         (79548453)         (128688816)	9			
Total (B) -Current liabilities         105316390         158613541           Net current assets (A-B)         (79548453)         (128688816)			0	0
Net current assets (A-B) (79548453) (128688816)			105316390	158613541

### Notes:

<sup>\*</sup> Inclusive of Rs.1084697/- (Amt in ₹ 000) pertaining to Discontinued Fund.